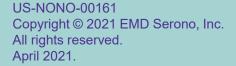
THE ROLE OF BIONARKER TESTING IN ADVANCED NSCLC





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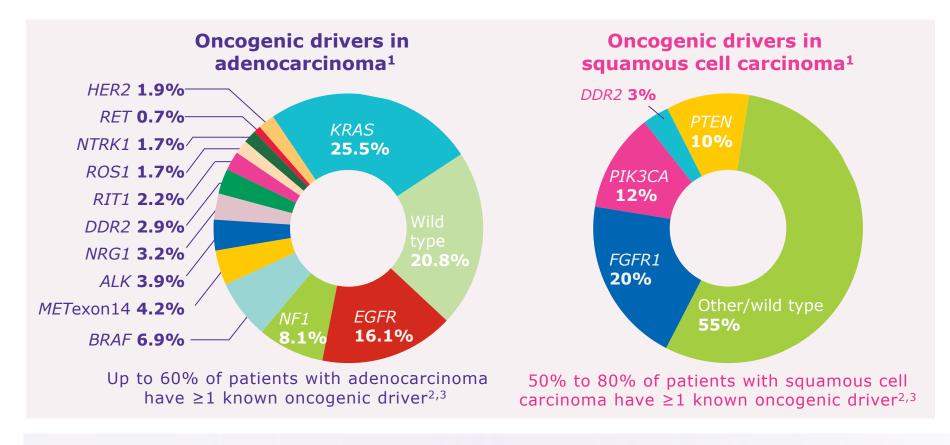


OVERVIEW OF BIOMARKERS IN NSCLC

Known biomarkers and use of biomarker testing for patient care



NSCLC is a heterogenous group of diseases with distinct histological subtypes and numerous oncogenic drivers



NSCLC includes 3 main histological subtypes⁴:

- Adenocarcinoma (49.7%)
- Squamous cell carcinoma(22.7%)
- Large cell carcinoma (1.4%)

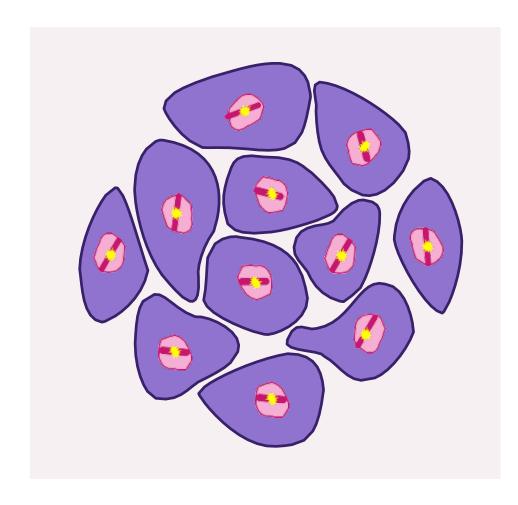
Known oncogenic drivers differ in commonality between these subgroups¹

Oncogenic drivers may serve as prognostic or predictive biomarkers to help guide patient management⁵





Importance of biomarker testing in NSCLC¹⁻³



- NCCN Clinical Practice Guidelines in Oncology (NCCN Guidelines®) recommend biomarker testing in all appropriate patients based on data showing clinical benefit for patients receiving appropriate targeted therapy or immunotherapy as opposed to chemotherapy options
 - Predictive biomarkers are indicative of therapeutic efficacy because there is an interaction between the biomarker and therapy on patient outcome
 - Prognostic biomarkers are indicative of patient survival independent of the treatment received
- Molecular testing to detect actionable targets as part of a diagnostic work-up can help personalize care
- Longitudinal biomarker testing can provide insights into tumor evolution, heterogeneity, and resistance





Current actionable biomarkers in NSCLC according to NCCN Guidelines^{®1}

- Numerous gene alterations have been identified that impact therapy selection in NSCLC
- Testing for these alterations not only helps identify potentially efficacious targeted therapies, but also those therapies unlikely to provide clinical benefit⁺

Predictive biomarkers associated with responsiveness to targeted therapy

EGFR* mutations such as exon 19 indels, exon 20 mutations (eg, p.T790M) or exon 21 mutations (eg, p.L858R)

Fusion between ALK* and other genes

*ROS1** gene fusions

BRAF V600E point mutations

MET exon 14 skipping mutations

RET gene rearrangements

NTRK1,2,3 gene fusions

Predictive biomarkers associated with responsiveness to immunotherapy

PD-L1 protein expression level

Emerging/prognostic biomarkers

High-level MET amplification

ERBB2 (HER2) mutations

KRAS mutations^{±2}

Numerous other mutations are under investigation for biomarker use²





Despite the identification of actionable biomarkers and known patient benefit, biomarker testing may be limited

Although biomarker testing rates have increased in the last few years, challenges to biomarker testing in NSCLC remain¹⁻³ Biomarker testing rates **Treatment of biomarker-positive** (% of patients tested; N=1203) patients 54% 51% 43% On targeted 29% therapy 22% EGFR ALK ROS1 BRAF All 4 No targeted genes therapy Less than half of patients in community practices with actionable mutations received targeted therapy despite being biomarker positive²

Current challenges to biomarker testing include^{3,4}:

- Tissue sample adequacy
- Selecting the appropriate biomarker test
- Interpretation of biomarker test results
- Financial considerations
- Turnaround time for some results

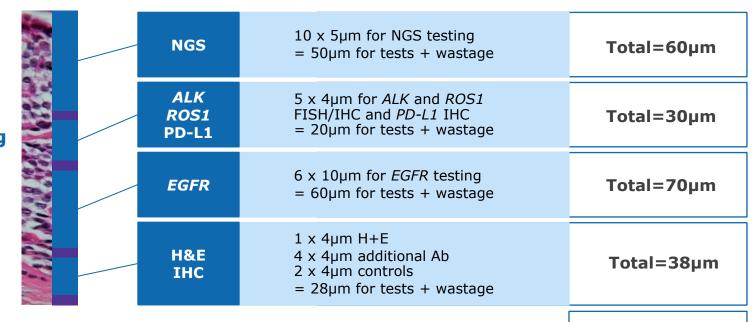




NSCLC tissue biopsy size is often small and may not be sufficient to test the increasing number of actionable biomarkers

A core lung biopsy* will give 200µm of material¹

Block trimming waste 10µm



*Core needle biopsies provide more intact material than fine needle aspiration²

Total=198µm, (leaving just 2µm for additional testing) Efficient use of tissue is important so that critical molecular testing can be performed³:

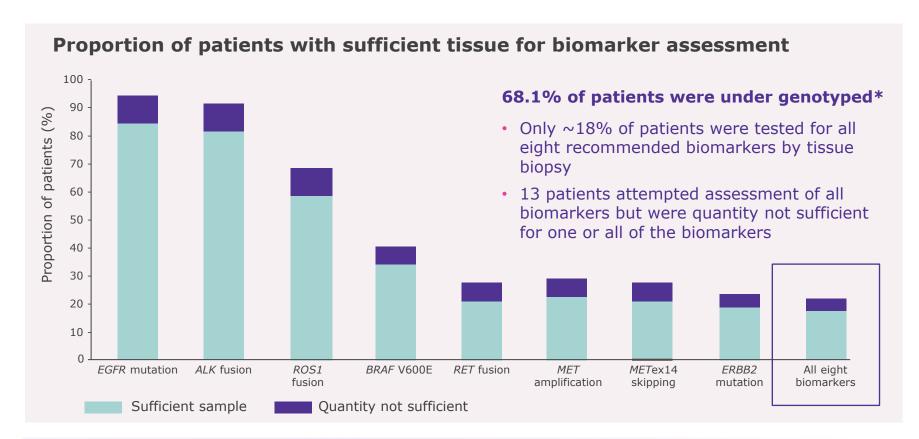
- On adequate tissue
- In a timely fashion

Simultaneous detection of multiple biomarkers (eg, through multiplex arrays) may allow for increased efficiency with small tissue samples³





NSCLC tissue biopsy size is often limited – **NILE** study¹



If all currently recommended tests are performed sequentially, there may not be sufficient sample to test all biomarkers

- Sequential biomarker testing using a tissue biopsy occurred in 84.8% of patients
- Of the patients with complete genotyping using a tissue sample:
 - 68.6% had comprehensive NGS genotyping
 - 31.3% had sequential testing of all eight biomarkers

With cfDNA available, all eight guidelinerecommended biomarkers were **fully assessed in 95% of patients**





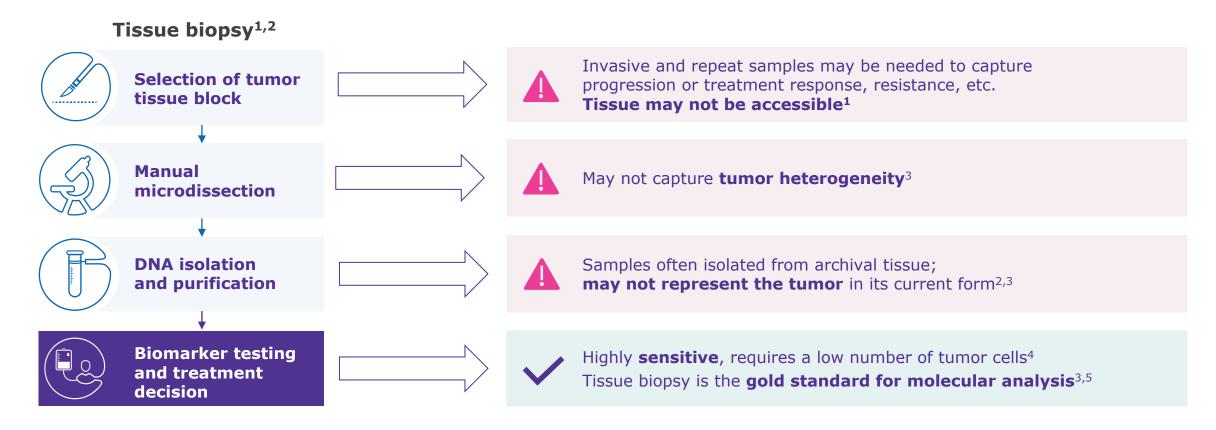
TESTING FOR BIOMARKERS IN NSCLC

Technical approaches, testing needs, and clinical guideline recommendations



Sample collection – tissue biopsy

Tissue biopsy is well established and sensitive, but has significant challenges







Sample collection – liquid biopsy

Liquid biopsy makes repeat sampling and detecting tumor heterogeneity easier, but may have limited sensitivity

Liquid biopsy¹ Minimally invasive, repeat sampling to monitor acquired resistance mutations is easier² **Blood sampling** Captures tumor heterogeneity^{1,2} **Faster preparation time** than tissue biopsy, Serum preparation more likely to represent current tumor environment^{1,3} cfDNA breaks down rapidly, and therefore can be a real-time cfDNA or biomarker of tumor stage and other biological features¹ **CTC** isolation cfDNA and CTC shedding varies by tumor type and stage; low concentrations of cfDNA and CTCs may be difficult to detect1-3 Can detect cancers earlier, before disease progression^{1,4} **Biomarker testing** and treatment Clinical significance of early mutations and the percentage decision of mutations detected are **not yet clear**² Not all techniques available; cost and limited availability^{1,2}





Sample collection – National Comprehensive Cancer Network® (NCCN®) recommendations¹

The use of plasma cfDNA/ctDNA testing (plasma testing) can be considered in specific clinical circumstances:

- If a patient is medically unfit for invasive tissue sampling
- In the initial diagnostic setting following pathologic confirmation of NSCLC if there is insufficient material for molecular analysis and if follow-up tissue-based analysis is planned for patients without oncogenic drivers

Cell free tumor DNA testing:



Should not be used in lieu of a histologic tissue diagnosis



Has very high specificity, but significantly compromised sensitivity (up to 30% false-negative rate)



Does not have established standards/guidelines for analytical performance characteristics



Can identify alterations that are unrelated to a lesion of interest





Overview of assessment techniques

Method	Used to assess/detect:	Sensitivity (%)	Turnaround time	Biopsy method	Point mutations	Small indels	CNAs	Rearrangements
PCR and Sanger Sequencing ^{1,2}	DNA changes, including point mutations, insertions, or deletions	20-50	3 to 4 days	LiquidTissue	✓	√		
RT-PCR ¹⁻³	RNA expression, including fusion transcripts	0.00001	2 to 3 days	LiquidTissue	\checkmark	\checkmark		\checkmark
FISH ²⁻⁶	Gene rearrangements including deletions, amplifications, translocations, and fusions	<1	2 to 3 days	• Tissue			✓	\checkmark
NGS: targeted approach ^{1,4}	Genetic changes in multiple genes simultaneously	1-10	7-20 days	LiquidTissue	✓	✓	√	May not reliably detect fusions
NGS: WES/ WGS ^{1,4}		Variable	Weeks	LiquidTissue	\checkmark	\checkmark	✓	√ (As long as in design)
IHC ^{4,5,7,8}	Protein expression, localization or specific alterations, including fusions	Variable	1 to 2 days	• Tissue				✓

It is important to choose the technique that ensures accurate and reliable detection of the selected biomarker(s); some techniques require the knowledge of a specific change in DNA/RNA or protein for biomarker detection^{1,9}





Advantages and disadvantages of assessment techniques

	Protein				
NGS ^{1,2}	RT-PCR ³	Sanger Sequencing ²	FISH ^{3,4}	IHC ^{3,5}	
 Large throughput High accuracy and sensitivity Simultaneous screening of multiple genes Multiple types of genetic alterations 	 Highly sensitive Detects fusion transcripts at the RNA level Mutant allele frequency quantification Rapid and cost effective 	Ability to identify all possible mutations in the analyzed fragment	 Knowledge of fusion partner not required Rearrangements can be discriminated from polysomy/amplifications 	 Sensitive Familiar Time saving and easily automatable Cost-friendly Many validated antibodies available 	
 Turnaround time Tissue sample needs Bioinformatic needs Reports can be hard to interpret Wide variety of NGS assay platforms Cost 	 Poor quality of FFPE RNA samples Limited number of variants tested at once 	 Low sensitivity assay requiring tumor enrichment 	 Not all rearrangements produce an expressed fusion transcript May miss unknown variants 	 May require confirmatory test Accuracy can vary by fixative and background Insufficient tumor content of tissue Skilled pathologist required 	





NCCN recommended use of assessment techniques*1

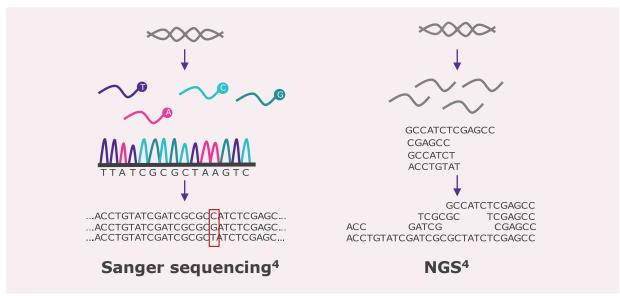
		Protein			
	NGS	RT-PCR	PCR	FISH	IHC
EGFR	\checkmark	\checkmark	\checkmark		
ALK	✓	√ (Unlikely to detect fusions with novel partners)		✓	✓
ROS1	✓ (DNA-based NGS may under detect)	(Unlikely to detect fusions with novel partners)		√ (May under detect FIG-ROS1 variant)	✓ (Low specificity)
BRAF	✓	\checkmark	\checkmark		
MET exon 14 skipping	✓				
RET	✓ (RNA-based NGS preferred)	(Unlikely to detect fusions with novel partners)		✓ (May under detect some variants)	
NTRK 1/2/3	✓ (DNA-based NGS may under detect)		✓	√ (May require ≥3 probe sets for full analysis)	(May be complicated by baseline expression)
PD-L1					✓ (Definition of positive or negative depends on assay)





NCCN Guidelines recommend a broad, panel-based approach to test for biomarkers prior to initiating treatment in eligible patient with metastatic NSCLC¹

NGS can provide a large profile of oncogenic alterations at a point in the patient's journey without sequential testing, with limited tissue sample and through either tissue or plasma testing (also known as liquid biopsy)¹⁻³



Adapted from Parikh et al. 2017.

Additional benefits of NGS⁵:

- More cost effective than single gene testing
- May facilitate an increase in life-years gained in advanced NSCLC, a 10% increase in NGS use compared to single-gene testing resulted in 2630 life-years gained
- Easier to add new biomarker genes in patient assessment
- Can provide value for low frequency biomarkers

Testing tissue samples with NGS following a negative result with non-NGS methods revealed genomic alterations with a corresponding targeted therapy in 26% of retested samples, and a targeted agent in a clinical trial was available for 39% of retested samples⁶





DNA-based versus RNA-based NGS assays

NGS assays vary widely in the information they provide in terms of sensitivity, specificity, comprehensiveness, tissue requirements, and turnaround times

DNA-based NGS assays^{1,2}

Allows the characterization of the exact gene fusion breakpoints and other genetic alterations



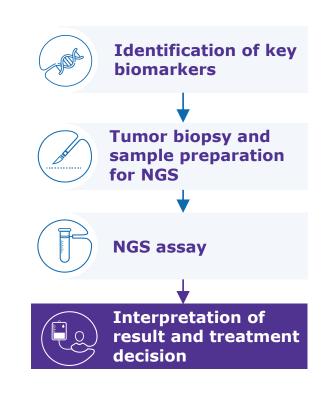
Can detect genetic alterations that lead to aberrant isoforms

Does not require an additional RNA purification step



Does not indicate expression of the rearranged locus of some fusion events

Involves intronic regions



RNA-based NGS assays^{1,2}

Can be more sensitive, efficient, and functionally definitive



Can discriminate splicing isoforms and quantify fusion transcripts

Not impacted by intronic regions

RNA is more complicated to handle



RNA can be highly degraded in FFPE specimens

Fusion gene detection limited to those functionally expressed

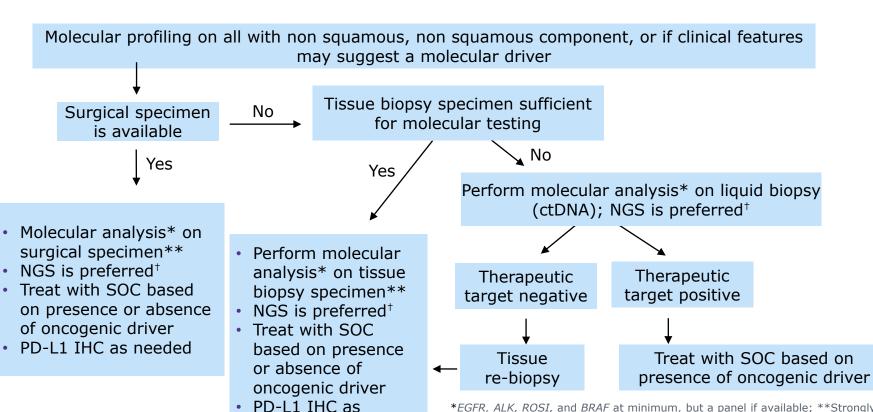


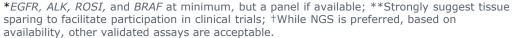


Biomarker testing to guide care of treatment-naïve NSCLC¹

needed

Patient with advanced treatment-naive NSCLC

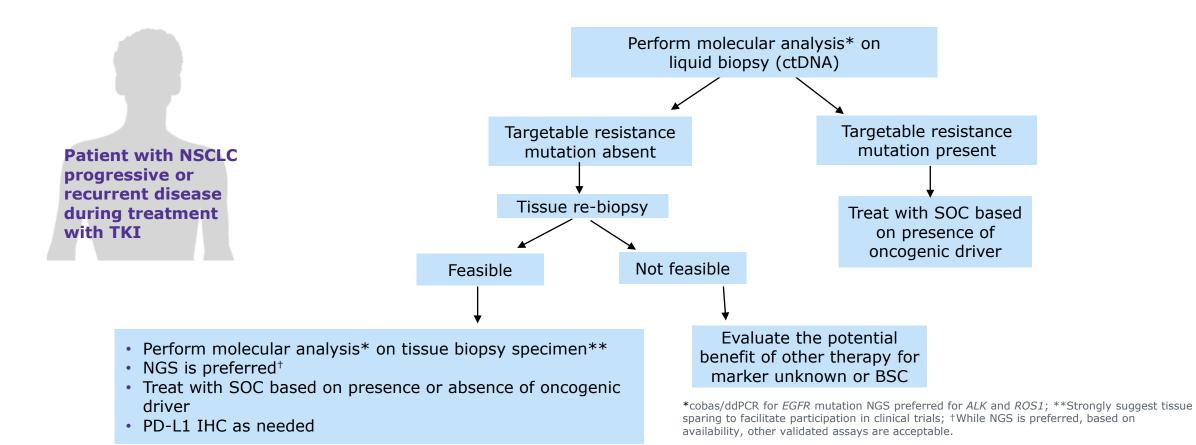








Biomarker testing to guide care of progressive or recurrent NSCLC¹



Retesting a tumor after progression on targeted therapy can support the appropriate next therapeutic steps





Interpreting biomarker test results



Depending on the testing approach and the facility, testing results may be reported differently, and results may include genes tested, probes used, qualitative data, and quantitative data.¹

However, there have been efforts to standardize reports through templates.1

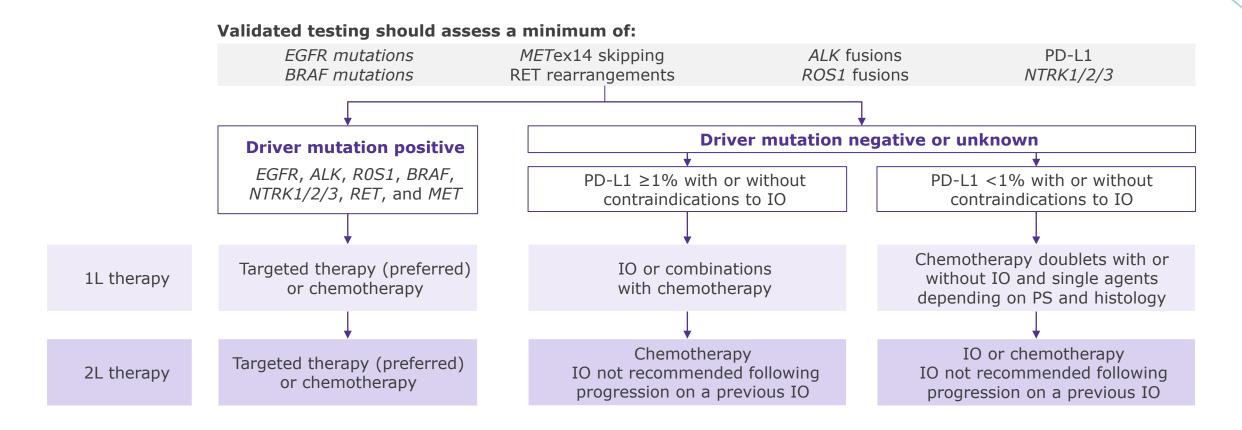
NGS reports may include²:

- A top-line summary of the key findings
- Clinically relevant biomarkers with an associated FDA-approved therapy
- Biomarkers that are potentially relevant but without a clear consensus
- Negative results that are clinically relevant but have not been identified
- A list of clinical trials for which a patient may be eligible based on the presence of an identified biomarker





NCCN Guidelines overview for advanced or metastatic NSCLC⁺¹



When patients do not have an identifiable driver oncogene, broad panel testing RNA-based NGS should be considered





SUMMARY



Summary



NSCLC is both histologically and genetically diverse¹



Current actionable biomarkers according to the NCCN include EGFR, ALK, ROS1, BRAF, MET exon 14 skipping mutations, RET, NTRK1/2/3 and PD-L1; NCCN recommends that when feasible, molecular testing be performed via a broad, panel-based approach³



Patients should be assessed for biomarker expression at multiple points in the treatment pathway, including at diagnosis and when starting a new therapy²



Biomarkers can be assessed via well-characterized techniques such as NGS, RT-PCR, PCR, FISH, and IHC, with assay selection depending on biopsy type^{3,4}



Biomarker testing can help guide patient management and treatment³



Broad, panel-based testing can provide a view of the patient's genetic profile without high tissue demands of sequential testing^{3,4}

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ADDITIONAL SLIDES



Glossary

Ab = antibody

ALK = anaplastic lymphoma kinase

BSC = best supportive care

BRAF = B-Raf proto-oncogene

cfDNA = circulating free DNA

ctDNA = circulating tumor DNA

CTC = circulating tumor cell

CGP = cancer gene panel

CNA = copy number alterations

DDR2 = discoidin domain receptor

tyrosine kinase 2 gene

EGFR = epidermal growth factor

receptor gene

ERBB2 = erb-b2 receptor tyrosine

kinase 2 gene

FDA = Food and Drug Administration

FFPE = formalin-fixed paraffin

embedded

FGFR1 = fibroblast growth factor

receptor 1 gene

FISH = fluorescence in situ hybridization

H&E = hematoxylin and eosin

HER2 = human epidermal receptor 2 gene

ICI = immune checkpoint inhibitor

IHC = immunohistochemistry

IO = immunotherapy

KRAS = kirsten rat sarcoma viral oncogene homolog

L = leucine

M = methionine

MET = mesenchymal-epithelial transition proto-oncogene

MET = MET receptor tyrosine kinase

METex14 = MET exon 14

NCCN = National Comprehensive Cancer Network

NF1 = neurofibromin 1 gene

NGS = next generation sequencing

NRG1 = neuregulin 1 gene

NSCLC = non-small cell lung cancer

NTRK = neurotrophic receptor tyrosine kinase gene

PCR = polymerase chain reaction

PD-L1 = programmed-death ligand 1

PIK3CA = phosphatidylinositol-4,5-bisphosphate 3-kinase catalytic subunit alpha gene

PS = performance score

PTEN = phosphatase and tensin homolog gene

R = arginine

RET = RET proto-oncogene

RIT1 = Ras like without CAAX 1 gene

ROS1 = ROS proto-oncogene 1

RT-PCR = reverse transcription PCR

SOC = standard of care

T = threonine

Trk = tropomyosin receptor kinase

TRS = targeted region sequencing

WES = whole exome sequencing

WGS = whole genome sequencing





Overview of assessment techniques

		Protein			
	NGS ^{1,2}	RT-PCR ¹⁻³	PCR (Sanger) ¹⁻⁴	FISH ²⁻⁵	IHC ^{2,5}
Overview	NGS is a high throughput sequencing technique performed on DNA or RNA, and includes targeted (TRS, CGP) and broad approaches (WES, WGS) which do not need a specific target Used to assess genetic changes in multiple genes simultaneously	RT-PCR converts RNA to DNA for amplification and analysis • Used to assess RNA expression, including fusion transcripts	PCR allows for the amplification of a specific piece of DNA • Used to assess DNA changes, including point mutations, insertions, or deletions	FISH uses fluorescent probes to detect specific gene changes at the DNA level, where the probe binds to a specific sequence • Used to detect gene rearrangements including deletions, amplifications, translocations, and fusions	 IHC uses commercially available antibodies to assess specific proteins Used to detect change in protein expression, localization or specific alterations, including fusion proteins
Biopsy method ⁶	Liquid and tissue biopsy	Liquid and tissue biopsy	Liquid and tissue biopsy	Tissue biopsy only	Tissue biopsy only
Sensitivity ²	Variable with broader approaches; 1-10% with targeted approaches	0.0001%	20-50%	<1%	
Turnaround time ²	Days to weeks depending on NGS approach	2-3 days	3-4 days	2-3 days	
Variants detected ²	Point mutations Small indels CNA* Rearrangements*	Point mutations Small indels Rearrangements	Point mutations Small indels	Point mutations CNA Rearrangements	Rearrangements Protein expression

It is important to choose the technique that ensures accurate and reliable detection of the selected biomarker(s); some techniques require the knowledge of a specific change in DNA/RNA or protein for biomarker detection^{1,6}



